

11-17-00

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Attorney's Docket No. 1836-001630

## PATENT APPLICATION TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231Transmitted herewith for filing is the patent application of: Carl J. Serman et al.Entitled: "High Performance Microcellular Foam and Manufacturing  
Method and Apparatus Thereof"Enclosed are: X 8 sheet/s of drawing/s  
X An Assignment of the invention to: C.U.E., Inc.  
X Declaration  
X Small Entity Statement under 37 CFR 1.9 and 1.27

## CLAIMS AS FILED

|                            | <u>No. Filed</u> | <u>No. Extra</u> | <u>Small<br/>Entity<br/>Rate</u> | <u>Non-Small<br/>Entity<br/>Rate</u> | <u>Charge</u> |
|----------------------------|------------------|------------------|----------------------------------|--------------------------------------|---------------|
| Total Claims               | 19               | -20 = 0          | x \$ 9.00                        | x \$ 18.00                           | \$ 0.00       |
| Indep. Claims              | 3                | -3 = 0           | x \$ 40.00                       | x \$ 80.00                           | \$ 0.00       |
| Multiple Dependent Claim/s |                  |                  | + \$135.00                       | + \$270.00                           | \$ 0.00       |
| Basic Fee                  |                  |                  | + \$355.00                       | + \$710.00                           | \$ 355.00     |
|                            |                  |                  | Total of above Charges           |                                      | \$ 0.00       |
|                            |                  |                  | Total Fee                        |                                      | \$ 355.00     |

A check in the amount of \$ 355.00 is enclosed to cover the filing fee.

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16 or patent application processing fees under 37 CFR 1.17 associated with this communication to Deposit Account No. 23-0650. Please refund any overpayment to Deposit Account No. 23-0650.

An original and two copies of this sheet are enclosed.

November 16, 2000

Date

"EXPRESS MAIL" mailing label number EL314742099USDate of Deposit November 16, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231

Kate A. McCarty

(Type or print name of person mailing paper)

(Signature of person mailing paper)

By

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Applicant or Patentee: Carl J. Serman et al. Attorney's Docket No.: 1836-001630  
Serial or Patent No.: To Be Assigned  
Filed or Issued: Filed Concurrently Herewith  
For: "High Performance Microcellular Foam and Manufacturing Method and Apparatus Thereof"

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

I hereby declare that I am

☒ the owner of the small business concern identified below:  
☐ an official of the small business concern empowered to act on behalf of the concern identified below:  
NAME OF SMALL BUSINESS CONCERN C.U.E., Inc.  
ADDRESS OF SMALL BUSINESS CONCERN 11 Leonberg Road  
Cranberry Township, PA 16066

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled "High Performance Microcellular Foam and Manufacturing Method and Apparatus Thereof" by inventor(s) Carl J. Serman and Kary L. Valentine

described in

☒ the specification filed herewith.  
☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
\_\_\_\_\_INDIVIDUAL\_\_\_\_\_SMALL BUSINESS CONCERN\_\_\_\_\_NONPROFIT ORGANIZATION

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
\_\_\_\_\_INDIVIDUAL\_\_\_\_\_SMALL BUSINESS CONCERN\_\_\_\_\_NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on

information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Joseph C. Scaletta  
TITLE OF PERSON IF OTHER THAN OWNER \_\_\_\_\_  
ADDRESS OF PERSON SIGNING 11 Leonberg Road, Cranberry Township, PA 16066

SIGNATURE  DATE 11/10/00

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